CHESS Sponsorship Transfer

If you have existing Broker or Issuer (company) sponsored securities but would prefer to hold some or all of your securities under E*TRADE Australia's sponsorship, please complete this form. Please, remember to attach a copy of your latest Issuer or CHESS (Broker Sponsored) statement/s.

If you wish to transfer securities from more than one broker, please either photocopy this form or call the E*TRADE Australia team and we will send you extra copies.

SECTION A For Broker Sponsoring Securities:

Important to note: The Account Name and Account Designation you provide in Question 4 must be exactly the same as on your Application Form and CHESS Holding Statement. If the details are different, there may be delays in transferring the securities to your E*TRADE Australia account.

1. Name of Existing Sponsoring Broker: **To:**

2. Address of Existing Sponsoring Broker:

3. HIN:

4. CHESS Registered Account Name:

Account Designation: **<** **A/C>**

5. E*TRADE Account Number: (For existing customers only)

6. Holdings to be Transferred: Please ☑ one.

If "HIN and All Holdings" is selected, your HIN will be transferred from your existing broker. If you transfer selected or partial holdings, we will issue you a new HIN. The company whose securities you are transferring will treat you as a new holder. Unless you advise us otherwise, we will register your CHESS holdings with the address you specify on your Application Form.

☐ **HIN and All Holdings** (Please complete Q8.) ☐ **Selected Holdings** (Please complete Q7 and Q8.)

SECTION B For Issuer Sponsored Securities or Partial Broker Transfer:

7. Securities to be Transferred: Please attach a copy of your latest Issuer or CHESS (Broker Sponsored) statement/s.

Securities:	**No. of Securities**

8. Agreement

Yes, I/We Authorise and direct my/our shareholdings, in accordance with the ATSC Settlement Rules, to ETRADE Australia Securities Limited. ("E*TRADE Australia")

Account Holder 1:

Signature: X

Name: (please print)

Title: (if company)

Date: d d m m y y

Account Holder 2:

Signature: X

Name: (please print)

Title: (if company)

Date: d d m m y y

IMPORTANT: Please remember to attach a copy of your latest Issuer or CHESS (Broker Sponsored) statement/s.